

NEBRASKA ADMINISTRATIVE CODE

EFFECTIVE DATE:

LAST ISSUE DATE: NOVEMBER 29, 1988

TITLE 291 - NEBRASKA PUBLIC SERVICE COMMISSION

CHAPTER 11 - AUTOMATIC DIALING-ANNOUNCING DEVICES RULES AND REGULATIONS

001 SCOPE:

001.01 General: These rules and regulations govern the use of automatic dialing-announcing devices as set forth in Nebr. Rev. Stat. Sections 86-1201 to 86-1222.

001.02 Definitions: As set forth in this chapter, unless the context otherwise requires:

001.02A Automatic Dialing-Announcing Device: A device which selects and dials telephone numbers and automatically plays a recorded message.

001.02B Commission: The Nebraska Public Service Commission.

001.02C Emergency Purposes: Any situation affecting the health and safety of a consumer.

001.02D Established Business Relationship: A prior or existing relationship formed by a voluntary two-way communication between a person and a residential or business telephone subscriber, with or without an exchange of consideration, on the basis of an inquiry, application, purchase, or transaction by the subscriber regarding products or services offered by the person, which relationship has not been previously terminated by either party.

001.02E Person: Any individual, firm, organization, corporation, company, association, partnership, joint stock association, body politic, common carrier, society, legal representative, trustee, receiver, assignee, guardian, executor or administrator.

001.02F Telephone Solicitation: A telephone call or message using an automatic dialing-announcing device for the purpose of encouraging the purchase or rental of, or investment in, property, goods, or services, which call or message is transmitted to any person. The term shall not include a call or message:

APPROVED

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DON STENBERG  
ATTORNEY GENERAL

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BY *J. M. Butler*  
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001.02F1 Made to any person with the person's prior express invitation or permission;

001.02F2 Made to any person with whom the caller has an established business relationship;

001.02F3 Made by a tax-exempt nonprofit organization;

001.02F4 Not made for commercial purposes;

001.02F5 Made for a commercial purpose but which does not include the transmission of unsolicited advertisement; or,

001.02F6 Placed by a live operator and a prerecorded message is not utilized.

001.02G Unsolicited Advertisement: Any material advertising the commercial availability or quality of any property, goods, or services which is transmitted to any person without that person's express invitation or permission.

002 APPLICATIONS AND PERMITS:

002.01 Permit or Registration Required: No person shall operate or connect any automatic dialing-announcing device for the purpose of making telephone solicitations or unsolicited advertisements on any telephone line unless the person has a current permit from the Commission for the device. Any person using an automatic dialing-announcing device other than for telephone solicitations or unsolicited advertisements shall register the device with the Commission. A separate permit or registration is required for each device connected or operated.

002.02 Application Forms: An applicant seeking a permit or registration shall file a written application with the Commission and remit a fee of five hundred dollars (\$500) for each device. The application shall be on a form prescribed by the Commission, incorporated herein at the end of the chapter and labeled as Attachment #1. The same application form shall be used for either a permit or registration. No filing fee is required for registration unless, upon review of the application by the Commission, it is determined that a permit is required.

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002.03 Demonstration and Inspection: Prior to issuing any permit or accepting any registration, the Commission may require the applicant to submit its automatic dialing-announcing device to a demonstration and inspection.

002.04 Permits and Registrations Not Transferrable: Permits or registrations are not transferable and a new permit or registration is required for a change in the person operating or connecting the automatic dialing-announcing device.

002.05 Filing with Telephone Company: Upon issuance of a permit or acceptance of any registration, and prior to operating or connecting the device to any telephone line, the permit holder or registrant shall notify the serving telephone company of the telephone line on which the device is proposed to be connected or operated. The telephone line shall be considered a business line.

002.06 Changes in Information Contained in Application: The permit holder or registrant shall notify the Commission of any changes in the information contained in its application within five (5) days.

002.07 Renewal of Permit or Registration: Duration of Permit or Registration: If a permit or registration is granted, the permit or registration shall remain in force for two (2) years from the date of issuance, and each application for the renewal of a permit or registration shall be treated as a new application. Applications for renewal of permits or registrations should be filed thirty (30) days prior to the expiration date to allow for review and consideration by the Commission. No device may be operated after the expiration date unless a renewed permit or registration has been issued by the Commission.

003 AUTOMATIC DIALING-ANNOUNCING DEVICES USED FOR TELEPHONE SOLICITATIONS AND UNSOLICITED ADVERTISEMENTS:

003.01 Disconnection: All automatic dialing-announcing devices delivering a recorded message to a person shall release the telephone line of the person called within five (5) seconds of the time notification is transmitted to the device that the person called has hung up, or as soon thereafter as the serving telephone company's central office equipment permits, to allow the telephone line of the person called to be used to make or receive other calls.

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003.02 Inspection: The permit holder shall inspect the automatic dialing-announcing device at least once a month to ensure proper operation and compliance with these rules, and shall maintain a record of such inspections for at least two (2) years. All automatic dialing-announcing devices used for telephone solicitations or unsolicited advertisements may be inspected by the Commission during the hours of operation specified in the application.

003.03 Enforcement: The Commission may conduct investigations upon written complaint and supporting affidavit that an applicable law, rule or regulation has been, or is being, violated.

003.03A Cease and Desist Order: The Commission may enter a Cease and Desist Order on an ex parte basis against the party named in the complaint.

003.03B Duration of Order: The order shall have a duration of no more than twenty (20) days, and a hearing upon the complaint shall be held no later than twenty (20) days after the order is entered.

003.03C Failure to Comply: Failure to comply with an applicable law, rule, or regulation shall constitute grounds for revocation or suspension of a permit.

004 LIMITATIONS ON USE:

004.01 Hours: A person may only make a telephone solicitation or unsolicited advertisement using an automatic dialing-announcing device to a residential telephone line between the hours of 8:00 a.m. and 9:00 p.m. Monday through Saturday, and between the hours of 1:00 p.m. and 9:00 p.m. Sunday and legal holidays. All times indicated are local times of the party called.

004.02 Time Limit: No message transmitted by any automatic dialing-announcing device used for telephone solicitations or unsolicited advertisements shall exceed two (2) minutes in length.

004.03 Message Requirements: A person using an automatic dialing-announcing device for the purpose of making telephone solicitations or unsolicited advertisements shall deliver a message which:

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004.03A At the beginning of the message clearly states the identity of the person making the call; and,

004.03B During or after the message, clearly states the telephone number, other than that of the device which made the call, and address of the person.

004.04 Do-Not-Call Lists: A person shall not make a telephone solicitation or unsolicited advertisement to a residential telephone line unless the caller has instituted procedures for maintaining a list of telephone subscribers who do not wish to receive telephone solicitations or unsolicited advertisements made by, or on behalf of, the caller. A caller shall at no time make telephone solicitations or unadvertised solicitations to subscribers on such list. Such procedures shall meet the following minimum standards:

004.04A A written policy, available upon demand, for maintaining a do-not-call list must be established.

004.04B Personnel engaged in any aspect of telephone solicitation or unsolicited advertisement must be informed of the existence of and trained in the use of the do-not-call list.

004.04C If a person making a telephone solicitation or unsolicited advertisement, or on whose behalf a solicitation or advertisement is made, receives a request from a residential or business telephone subscriber not to receive calls from that person, the person shall record the request and place the subscriber's name and telephone number on the do-not-call list and the time the request is made. If the requests are recorded or maintained by someone other than the person on whose behalf the telephone solicitation or unsolicited advertisement is made, the person on whose behalf the solicitation or advertisement is made shall be liable for any failure to honor the do-not-call request. In order to protect a telephone subscriber's privacy, a person making telephone solicitations or unsolicited advertisements shall obtain a telephone subscriber's prior express consent to share the telephone subscriber's request not to be called with, or to forward such request to, someone other than the person on whose behalf a telephone solicitation or unsolicited advertisement is made or an affiliated entity;

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004.04D In the absence of a specific request by a telephone subscriber to the contrary, a residential or business telephone subscriber's do-not-call request shall apply to the particular person making the call or on whose behalf a call is made and shall not apply to affiliated entities unless the telephone subscriber reasonably would expect them to be included given the identification of the caller and the product being advertised; and,

004.04E A person making telephone solicitations or unsolicited advertisements shall maintain a do-not-call list for the purpose of any future telephone solicitations or unsolicited advertisements.

004.05 Emergency Numbers; Restrictions: A person shall not initiate a telephone solicitation or unsolicited advertisement, other than a call made for emergency purposes, using an automatic dialing-announcing device to:

004.05A An emergency telephone line, including 911 or any emergency or business line of a hospital, physician or medical service office, health care facility, poison control center, fire protection agency, or law enforcement agency;

004.05B The telephone line of any guest room of a hospital, health care facility, nursing home, or similar facility;

004.05C Any telephone number assigned to a paging service, a cellular telephone service, a specialized mobile radio service, any other radio common carrier service, or any service for which the person is charged for the call; or,

004.05D A residential or business telephone line unless the telephone solicitation or unsolicited advertisement is otherwise permitted by Neb. Rev. Stat. Sections 86-1201 to 86-1222.

004.06 Disconnection: Use of an automatic dialing-announcing device shall not impair the telephone service of others.

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004.06A Automatic: The serving telephone company may suspend or disconnect, without prior notice, the telephone service of the permit holder or registrant if the use of the automatic dialing-announcing device causes the prevention, obstruction or delay of telephone service to others.

004.06B Notification: The serving telephone company shall immediately notify the permit holder or registrant in writing of such disconnection and the reason therefor.

004.06C Reestablishment: Service may be reestablished with the consent of the serving telephone company or by order of the Commission after notice and hearing.

004.07 Sequential Dialing Prohibited: A person shall not connect or operate an automatic dialing-announcing device in such a manner as to allow it to dial telephone numbers sequentially which means in any manner other than a random manner. A detectable, predictable pattern which can be used to accurately project the device's number dialing shall satisfy a finding that sequential number dialing is taking place in violation of this section.

005 PENALTIES:

005.01 Administrative Fines: The Commission may administratively fine any person who violates Neb. Rev. Stat. Sections 86-1201 to 86-1222 or the rules and regulations adopted and promulgated thereunder in an amount not to exceed one thousand dollars (\$1,000) per violation after notice and hearing. The Commission will issue a written warning before an administrative fine is assessed.

005.02 In addition to any other penalties available at law, any person who violates such sections shall be guilty of a Class II misdemeanor.

006 FACSIMILE TELEPHONE SOLICITATION:

006.01 Prohibited Use: A person shall not use a telephone facsimile machine, computer, or other device to send an unsolicited advertisement to a telephone facsimile machine.

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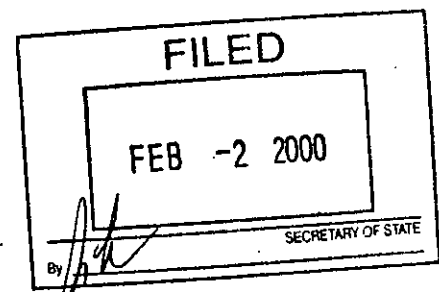
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006.02 Penalties:

006.02A Administrative Fines: The Commission may administratively fine any person found in violation up to one thousand dollars (\$1,000) per violation after notice and hearing. The Commission will issue a written warning before an administrative fine is assessed.

006.02B In addition to any other penalties available at law, any person who is found in violation shall be guilty of a Class II misdemeanor.



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BY *[Signature]*  
Assistant Attorney General



BEFORE THE NEBRASKA PUBLIC SERVICE COMMISSION

Application to Connect Automatic Dialing-Announcing Device.

Name of Applicant \_\_\_\_\_

Address \_\_\_\_\_

Telephone Number ( \_\_\_\_\_ ) \_\_\_\_\_

The above named applicant hereby applies to the Nebraska Public Service Commission for a permit \_\_\_\_\_ registration \_\_\_\_\_ authorizing the connection of an automatic dialing-announcing device to a telephone line.

To enable the Commission to evaluate this application, the following information is furnished:

1. Form of Organization: Corporation \_\_\_\_\_ Partnership \_\_\_\_\_ Individual \_\_\_\_\_  
Other (specify) \_\_\_\_\_

2. If applicant is a corporation, indicate state in which incorporated:  
\_\_\_\_\_

3. If applicant is an individual, indicate the individual's Social Security Number:  
\_\_\_\_\_

4. List name, mailing address and telephone number of principal officer, partners or resident agent: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

5. List name, address and telephone number of responsible person to contact regarding complaints or inquiries: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

6. Description of automatic dialing-announcing device:

Manufacturer: \_\_\_\_\_

Model: \_\_\_\_\_

FCC Registration Number: \_\_\_\_\_

7. Describe all locations where device will be connected:

Address: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

8. Describe the content of message to be transmitted by device: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

9. Submit a written transcript or tape of message to be transmitted by device.

10. Indicate times of operation and anticipated number of calls proposed:

	Hours		Calls Per Day
	From	To	(Estimated)
Sunday	_____	_____	_____
Monday	_____	_____	_____
Tuesday	_____	_____	_____
Wednesday	_____	_____	_____
Thursday	_____	_____	_____
Friday	_____	_____	_____
Saturday	_____	_____	_____

11. Length of message (minutes:seconds) \_\_\_\_:\_\_\_\_
12. List names and addresses of all persons or businesses other than applicant for whom calls will be made:  
Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_
13. Is equipment owned \_\_\_\_ leased \_\_\_\_ or rented \_\_\_\_ ?  
If not owned by applicant, indicate name and address of owner:  
Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_

Applicant hereby agrees to the following terms and conditions:

- a. To include on all calls made on the automatic dialing-announcing device, a statement of the nature of the call and the name, address and telephone number of the business or organization being represented; and,
- b. To disconnect the automatic dialing-announcing device from the telephone line of the person called within five (5) seconds of the time notification is transmitted to the device that the person called has hung up, or as soon as the telephone company's central office equipment permits; and,
- c. To prevent such device from calling emergency telephone numbers of police or fire stations or medical or other facilities providing emergency service as designated by the Nebraska Public Service Commission; and,
- d. To operate an automatic dialing-announcing device only in such a manner as to allow it to dial telephone numbers randomly.

By \_\_\_\_\_